



PATENTS  
P01-1041/112056-0020

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A Ray  
8/5/03

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In Re The Application of: )  
Alan L. Rowe et al. )  
Serial No.: 10/027,013 )  
Filed: December 21, 2001 )  
For: SYSTEM AND METHOD FOR )  
ALLOCATING SPARE DISKS )  
IN NETWORKED STORAGE )  
 )  
Examiner: Not Yet Assigned  
Art Unit: 2133  
Cesari and McKenna, LLP  
88 Black Falcon Avenue  
Boston, MA 02210  
March 8, 2002

"Express Mail" Mailing-Label Number: EL 736451023 US

Honorable Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**PRELIMINARY AMENDMENT**

**In the Specification:**

On page 1, please replace paragraphs 2 – 4, beginning on line 5, with the following:

*J1*  
-- Serial No. 10/027,020 entitled SYSTEM AND METHOD FOR TRANSFERRING VOLUME OWNERSHIP IN NETWORKED STORAGE, by Susan M. Coatney et al.

Serial No. 10/027,020 entitled SYSTEM AND METHOD OF IMPLEMENTING DISK OWNERSHIP IN NETWORKED STORAGE, by Susan M. Coatney et al.